

List of Publications – Dr. Dorothee Baumann-Pauly

April 2021

Books

Baumann-Pauly, D., Nolan, J. (Eds.) (2016): *Business and Human Rights – From Principles to Practice*. Routledge, 2016.

Baumann-Pauly, D. (2013): *Managing Corporate Legitimacy: A Toolkit*. Greenleaf Publishing, 2013.

Peer-Reviewed Journal Articles

Baumann-Pauly, D. (2018). Bridging Theory and Practice Through Immersion. Innovations for Teaching Business and Human Rights at Business Schools. *Business and Human Rights Journal*, Vol. 3 (1): 139-144.

Baumann-Pauly, D., Nolan, J., van Heerden, A., Samway, M. (2017): Industry-Specific Multi-Stakeholder Initiatives That Govern Corporate Human Rights Standards – Legitimacy Assessments of the Fair Labor Association and the Global Network Initiative. *Journal of Business Ethics*, Special Issue: Industry-Specific Corporate Responsibility: Empirical Observations and Theoretical Implications, Vol. 143: 771–787.

Baumann-Pauly, D., Scherer, A. G., Palazzo, G. (2016): Managing Institutional Complexity – A Longitudinal Study of Legitimacy Strategies at a Sportswear Brand Company. *Journal of Business Ethics*. Vol. 137: 31–51.

Baumann-Pauly, D., Wickert, C., Spence, L., Scherer, A. G. (2013): Organizing Corporate Social Responsibility in Small and Large Firms – Size Matters. *Journal of Business Ethics*, Special Issue: Organizing Corporate Social Responsibility: Interactions Between Business and Society, Vol. 115 (4): 693-705.

- Listed as rank no. 7 most frequently cited paper of over 3220 papers published in *Journal of Business Ethics* since 2013 (Social Science Citation Index/ISI Web of Science, accessed December 15th, 2020)

Baumann-Pauly, D., Scherer, A.G. (2013): The Organizational Implementation of Corporate Citizenship: An Assessment Tool and its Applications at UN Global Compact Participants. *Journal of Business Ethics*, Vol. 117 (1): 1-17.

Scherer, A. G., Baumann, D., Schneider, A. (2013): Democratizing Corporate Governance: Compensating for the Democratic Deficit of the Corporate Political Activity and Corporate Citizenship. *Business and Society*, Special Issue: The Governance Challenges of Corporate Political Activity, Vol. 52 (3): 473-514.

- Listed as rank no. 14 most frequently cited paper of over 370 papers published in *Business & Society* since 2013 (SSCI/ISI Web of Science, accessed December 15th, 2020)

Mena, S., De Leede, M., Baumann, D., Black, N., Lindeman, S., McShane, L. (2010): Advancing the Business and Human Rights Agenda. Dialogue, Empowerment, and Constructive Engagement. *Journal of Business Ethics*, Vol. 93 (1): 161-188.

Scherer, A.G., Palazzo, G., Baumann, D. (2006): Global Rules and Private Actors – Towards a New Role of the Transnational Corporation in Global Governance, *Business Ethics Quarterly*, Vol. 16 (4): 505-532.

Reprinted in: Corporate Social Responsibility, Volume 3, Andrew Crane and Dirk Matten (Eds.), London: Sage 2007.

- Listed as rank no. 1 most frequently cited paper of over 600 papers published in Business Ethics Quarterly since 2006 (SSCI/ISI Web of Science, accessed December 15th, 2020)
- Listed as rank no. 12 most frequently cited paper of all papers ever published on the topic of “global governance” (ISI Web of Knowledge, accessed December 15th, 2020)

Book Chapters

Baumann-Pauly, D., Trabelsi, L. (forthcoming): Article 30 – The Role of Collaborative Initiatives in Respecting Human Rights, in Choudhury, B. (Ed.): *Commentary on the UNGPs*. Edward Elgar Publishing Ltd. Draft available on SSRN: <http://ssrn.com/abstract=3810689>

Baumann-Pauly, D., Posner, M. (forthcoming): Multi-Stakeholder Initiatives – More Important Now Than Ever, in Ewing, A. (Ed.): *Teaching Business and Human Rights*. Edward Elgar Publishing Ltd.

Baumann-Pauly, D., Trabelsi, L. (forthcoming): The Business Case for Human Rights – Irrelevant or Indispensable?, in Stein, M., Bantekas, I. (Eds): *The Cambridge Companion to Business & Human Rights Law*. Cambridge University Press.

Baumann-Pauly, D., Saxena, S. B. (2020): Off the Radar – Subcontracting in Bangladesh's RMG Industry, in Saxena, S. B. (Ed.): *Labor, Global Supply Chains, and the Garment Industry in South Asia – Bangladesh after Rana Plaza*. Routledge 2020: 45-61.

Baumann-Pauly, D., Stein, N., Labowitz, S. (2018): Transforming the Garment Industry in Bangladesh – Sharing Responsibility, in Jastram, S. M., Schneider, A.-M. (Eds.): *Handbook for Sustainable Fashion – Governance and New Management Approaches*, Springer 2018: 41-50.

Baumann-Pauly, D., Labowitz, S., Banarjee, N. (2017): Closing Governance Gaps in Bangladesh's Garment Industry – The Power and Limitations of Private Governance Schemes, in Caron, D., Botero, J., Muller, S. (Eds.): *Understanding Coordinated Governance in Theory and Practice*. Routledge 2017.

Posner, M., Baumann-Pauly, D. (2017): Making the Business Case for Human Rights: an Assessment, in Baumann-Pauly, D., Nolan, J. (Eds): *Business and Human Rights – From Principles to Practice*. Routledge, 2016: 11-21.

Baumann-Pauly, D., Nolan, J., Labowitz, S., van Heerden, A. (2017): Setting and Enforcing Industry-Specific Standards for Human Rights: The Role of Multi-Stakeholder Initiatives in Regulating Corporate Conduct, in Baumann-Pauly, D., Nolan, J. (Eds): *Business and Human Rights – From Principles to Practice*. Routledge, 2016: 107-127.

Baumann-Pauly, D. & Scherer, A.G. (2013): Managing Corporate Legitimacy and the UN Global Compact”, in Lenzen, E. (Ed.): *UN Global Compact International Yearbook 2013*, Münster/New York: macondo media group/United Nations Publications 2013: 18-21.

Baumann, D., Wickert, C., Scherer, A. G., Palazzo, G. (2011): The UN Global Compact: Organizational Implementation of Corporate Social Responsibility in Swiss MNCs and SMEs,

in Boisson de Chazournes, L., Mazuyer, E. (Eds.): *The Global Compact and the United Nations - 10 years after*. Bruxelles: Bruylant 2011: 125-154.

Baumann, D. & Scherer, A. G. (2010): The Organizational Implementation of Corporate Citizenship, in Lenzen, E. (Ed.): *UN Global Compact International Yearbook 2010*, Münster: Macondo Media Group 2010: 52-56.

Scherer, A.G., Palazzo, G., Baumann, D. (2007): Global Public Rules and Citizenship Rights: A New Responsibility of Private Business Firms?, in Zimmerli, W. Ch., Holzinger, M., Richter, K. (Eds.): *Corporate Ethics and Corporate Governance*, Berlin/Heidelberg/New York: Springer 2007: 309-326.

Scherer, A.G., Palazzo, G., Baumann, D. (2007): Global Rules and Private Actors – Toward a New Role of the Transnational Corporation in Global Governance, in Oesterle, M.-J. (Hrsg.): *Internationales Management im Umbruch – Globalisierungsbedingte Einwirkungen auf Theorie und Praxis Internationaler Unternehmensführung*, Wiesbaden: Gabler Edition Wissenschaft/Deutscher Universitäts-Verlag: 3-39.

Scherer, A.G. & Baumann, D. (2007): The Role of the TNC in the Process of Legalization in International Relations - Insights From Economics, Business Management and Legal Studies, in Lehmkuhl, D., Brütsch, C. (Eds.): *Hard, Soft and Private Legalities: The Increase, Variation and Differentiation of Law-like Arrangements in International Relations*, Oxford: Routledge 2007: 202-225.

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Reports

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Baumann-Pauly, D., Posner, M., LeClair, D. (2020): The Case for Business and Human Rights in Business Education - A Tool Kit. SSRN, November 20, 2020. Available at: https://papers.ssrn.com/sol3/papers.cfm?abstract_id=3741169

Baumann-Pauly, D. (2020): Making Mining Safe and Fair: Artisanal Cobalt Extraction in the Democratic Republic of the Congo. World Economic Forum, White Paper, September 2020. Available at: <https://www.weforum.org/whitepapers/making-mining-safe-and-fair-artisanal-cobalt-extraction-in-the-democratic-republic-of-the-congo>

Barrett, P. M., Baumann-Pauly, D. (2019): Made in Ethiopia: Challenges in the Garment Industry's New Frontier. NYU Stern Center for Business and Human Rights, May 2019. Available at: <https://www.stern.nyu.edu/experience-stern/faculty-research/made-ethiopia-challenges-garment-industry-s-new-frontier>

Barrett, P. M., Baumann-Pauly, D. (2018): Five Years After Rana Plaza: The Way Forward. Report by the NYU Stern Center for Business and Human Rights, April 2018. Available at:

<https://static1.squarespace.com/static/547df270e4b0ba184dfc490e/t/5ac9514eaa4a998f3f30ae13/1523143088805/NYU+Bangladesh+Rana+Plaza+Report.pdf>

Labowitz, S. & Baumann-Pauly, D. (2015): Beyond the Tip of the Iceberg. Bangladesh's Forgotten Apparel Workers. Report by the NYU Stern Center for Business and Human Rights, December 2015. Available at: http://people.stern.nyu.edu/twadhwa/bangladesh/downloads/beyond_the_tip_of_the_iceberg_report.pdf

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Working Papers

Baumann-Pauly, D., Trabelsi, L. (2021): Complementing Mandatory Human Rights Due Diligence: Using Multi-Stakeholder Initiatives to Define Human Rights Standards. SSRN, January 22, 2021. Available at: https://papers.ssrn.com/sol3/papers.cfm?abstract_id=3810689

Baumann-Pauly, D., Cremer Iyi, S. (2019): How New Business Models Can Address Human Rights Risks in the Cobalt Supply Chain. SSRN, September 3, 2019. Available at: https://papers.ssrn.com/sol3/papers.cfm?abstract_id=3679885

Baumann-Pauly, D., Scherer, A.G., Palazzo, G. (2013): Organizational Implications of Managing Corporate Legitimacy in Complex Environments: A Longitudinal Case Study of Puma. University of Zurich, Institute of Business Administration, UZH Business Working Paper No. 321 (October 2013).

Baumann, D., Wickert, C., Spence, L., Scherer A. G. (2011): Organizing Corporate Social Responsibility in Small and Large Firms – Size Matters. University of Zurich, Chair of Foundations of Business Administration and Theories of the Firm, Working Paper No. 204, December 2011.

Baumann, D. & Scherer, A. G. (2010): MNEs and the UN Global Compact: An Empirical Analysis of the Organizational Implementation of Corporate Citizenship, University of Zurich, Chair of Foundations of Business Administration and Theories of the Firm, Working Paper No. 114, November 2010.

Published Case Studies

Baumann-Pauly, D., Massa, L., Sheriff, N. (2020): Manufacturing in Ethiopia: Decathlon's Partnership Model. SSRN, November 3, 2020. Available at: https://papers.ssrn.com/sol3/papers.cfm?abstract_id=3724583

Padmanabhan, V. M., Baumann-Pauly, D., Labowitz, S. (2015): The Hidden Price of Low Cost: Subcontracting in Bangladesh's Garment Industry. SSRN, September 8, 2015. Available at: http://papers.ssrn.com/sol3/papers.cfm?abstract_id=2659202

Baumann-Pauly, D. (2020): Mercurtrade Cobalt, Microsimulation for teaching, November 2020. Available at: <https://www.capsim.com/gbsnbeyond/>

Media

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- Baumann-Pauly, D., Cremer Iyi, S. (2020): As cobalt demand booms, companies must do more to protect Congolese miners, The Conversation, November 25, 2020. Available at: <https://theconversation.com/as-cobalt-demand-booms-companies-must-do-more-to-protect-congolese-miners-149486>
- Baumann-Pauly, D. (2020): Why Cobalt Mining in the DRC Needs Urgent Attention, Blog Post, Council on Foreign Relations, October 29, 2020. Available at: <https://www.cfr.org/blog/why-cobalt-mining-drc-needs-urgent-attention>
- Baumann-Pauly, D., Sheriff, N. (2020): Reopening factories safely is the next challenge - Here's how fashion brand can help, Just Style, May 11, 2020. Available at: https://www.just-style.com/comment/reopening-factories-safely-is-the-next-challenge-heres-how-fashion-brands-can-help_id134842.aspx
- Baumann-Pauly, D., Dekkiche, S. (2020): Multinationales responsables: un débat émotionnel qui omet l'essentiel, Le Temps, October 16, 2020. Available at: <https://www.letemps.ch/opinions/multinationales-responsables-un-debat-emotionnel-omet-lessentiel>
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- Baumann-Pauly, D. & Ebert, I. (2016): German businesses are waking up to the potential of refugees. Op-Ed Scroll India Business Section, Jan 29, 2016. Available at: <http://scroll.in/article/801870/german-businesses-are-waking-up-to-the-potential-of-refugees>
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